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TECHNOLOGY CENTER R3700

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/029,381	12/19/2001	Monica A. McClintic	29757/AG60	3571

4743 7590 01/06/2004

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JAN 09 2004

EXAMINER

ONEILL, MICHAEL W

ART UNIT PAPER NUMBER

3713

MARSHALL GERSTEIN

DATE MAILED: 01/06/2004

Docketed: 4/6/04

Please find below and/or attached an Office communication concerning this application or proceeding.

11/6/04

**Office Action Summary**

Application No. 10/029,381	Applicant(s) MCCLINTIC, MONICA A.	
Examiner Michael O'Neill	Art Unit 3713	

— The MAILING DATE of this communication appears on the cover sheet with the correspondence address —

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 19 December 2001.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-56 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9, 11-40, 42-51 and 53-55 is/are rejected.
- 7) ☒ Claim(s) 10, 41, 52 and 56 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. §§ 119 and 120**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.  
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_ 6) ☐ Other:

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DETAILED ACTION

Claim Rejections - 35 USC §§ 102/103

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.



Claims 1-3, 5-7, 9, 12-21, 23, 24, 26, 28-39, 43-51 and 53-55 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Luciano, Jr. et al., USPN 6,050,895.

Luciano Jr. et al. discloses a gaming system that allows players to play a game of skill and a game of chance. Luciano Jr. et al. in the beginning of the specification focusing on the gaming system allowing the player to first play a game of skill and upon successful completion of the game of skill moving onto a bonus round which is a game of chance. However, near the end of the specification, Luciano Jr. et al. discloses that the system previously disclosed can be reversed wherein a game of chance is played and upon a predetermined trigger event the player enters into a bonus round. The bonus round consists of a game of skill. This game of skill can be played either versus the computer or versus another play, thus meeting the "challenge" limitations the Applicant is claiming within these claims.

Claims 4, 40 and 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Luciano, Jr. et al. in view of Walker et al., USPN 6,142,872.

Luciano, Jr. et al. lacks clearly disclosing the concept of « team player ». In an analogous gaming system, Walker et al.

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teaches that it is well known in the art to provide "team play" competition because it provides a sense of communalism among players in the casino. Therefore, it would have been obvious to incorporate such teachings into the Luciano, Jr. et al. gaming system for the reasoning given in Walker et al.

Claims 8, 11, 22, 25 and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Luciano, Jr. et al.

Re. claim 8: Absent a showing of criticality via unexpected results, it is deemed obvious to one skilled in the art to deduct credits from a player for not generating a winning outcome at the base game.

Re. claims 11 and 25: Absent a showing of criticality via unexpected results, it is deemed obvious to one skilled in the art to utilize random number generation as the means to determine winners between players.

Re. claims 22 and 27: Absent a showing of criticality via unexpected results, it is deemed obvious to one skilled in the art to utilize a "theme" while issuing a win value to a winning player.

#### **Allowable Subject Matter**

Claims 10, 41, 52 and 56 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten

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in independent form including all of the limitations of the base claim and any intervening claims.

**Conclusion**

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael O'Neill whose telephone number is 703-308-3484. The examiner can normally be reached on Monday through Friday 8:30 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Teresa J. Walberg can be reached on 703-308-1327. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-306-5648.

MON



MICHAEL O'NEILL  
PRIMARY EXAMINER

JUN 23 2004

## Notice of References Cited

Application/Control No.

10/029,381

Applicant(s)/Patent Under  
Reexamination  
MCCLINTIC, MONICA A.

Examiner

Michael O'Neill

Art Unit

3713

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## U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-4,582,324	04-1986	Koza et al.	463/16
	B	US-4,805,907	02-1989	Hagiwara, Takashi	463/26
	C	US-4,926,327	05-1990	Sidley, Joseph D. H.	463/13
	D	US-5,159,549 A	10-1992	Hallman et al.	463/26
	E	US-5,342,049 A	08-1994	Wichinsky et al.	273/119R
	F	US-5,580,308 A	12-1996	Nakamura, Toshiki	463/7
	G	US-5,779,549	07-1998	Walker et al.	463/42
	H	US-5,971,849 A	10-1999	Falciglia, Sal	463/16
	I	US-6,050,895 A	04-2000	Luciano et al.	463/7
	J	US-6,142,872 A	11-2000	Walker et al.	463/16
	K	US-6,183,806 B1	02-2001	Walker et al.	463/20
	L	US-6,364,765 B1	04-2002	Walker et al.	463/16
	M	US-6,386,974 B1	05-2002	Adams, William R.	463/16

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	GB2072395A	09-1981	UNITED KINGDOM	KENNEDY	
	O	GB2062922A	05-1981	UNITED KINGDOM	PARKER	
	P	GB2092796A	08-1982	UNITED KINGDOM	MORRISEY	
	Q	GB2197974A	08-1988	UNITED KINGDOM	EVANS	
	R	GB2226436A	08-1990	UNITED KINGDOM	LEE	
	S	GB2229565A	09-1990	UNITED KINGDOM	WAIN	
	T	GB2320206A	06-1998	UNITED KINGDOM	STANLEY	

## NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<b>Notice of References Cited</b>	Application/Control No. 10/029,381	Applicant(s)/Patent Under Reexamination MCCLINTIC, MONICA A.	
	Examiner Michael O'Neill	Art Unit 3713	Page 2 of 2

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,406,369 B1	06-2002	Baerlocher et al.	463/20
	B	US-2003/0084779 A1	04-2003	Suda, Satoshi	463/20
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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	P					
	Q					
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	S					
	T					

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	X	

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10/02/2001 Sheet 1 of 2

Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)  
5081US (01-01-051)Application Number  
Not yet assigned

Applicant Monica A. McClintic

Filing Date December 18, 2001

Group Art Unit Unknown

US PTO  
10/02/2001  
12/19/01

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
[Signature]	5,265,874	11/1993	Dickinson et al.	—	—	
	5,560,603	10/1996	Seelig et al.	—	—	
	5,684,998	09/1997	Seelig et al.	—	—	
	5,769,716	06/1998	Saffari et al.	—	—	
	5,779,544	07/1998	Seelig et al.	—	—	
	5,806,045	09/1998	Blorge et al.	—	—	
	5,820,460	10/1998	Fulton	—	—	
	5,833,536	11/1998	Davids et al.	—	—	
	5,851,148	12/1998	Brune et al.	—	—	
	5,876,284	03/1999	Acres et al.	—	—	
[Signature]	5,902,983	05/1999	Crevelt et al.	—	—	

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## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

## OTHER DOCUMENTS

(Including Author, Title, Date, Patent Pages, Etc.)


EXAMINER

O'NEILL

DATE CONSIDERED

01-02-04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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